# DGPO: Discovering Multiple Strategies with <u>Diversity-Guided</u> Policy Optimization

Extended Abstract

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# ABSTRACT

Recent algorithms designed for reinforcement learning tasks focus on finding a single optimal solution. However, in many practical applications, it is important to develop reasonable agents with diverse strategies. In this paper, we propose Diversity-Guided Policy Optimization, an on-policy framework for discovering multiple strategies for the same task. Our algorithm uses diversity objectives to guide a latent code conditioned policy to learn a set of diverse strategies in a single training procedure. Experimental results show that our method efficiently finds diverse strategies in a wide variety of reinforcement learning tasks. We further show that DGPO has similar performance and achieves a higher diversity score or better sample efficiency compared to other baselines.

#### **KEYWORDS**

Diversity; Deep reinforcement learning; Probabilistic graphical models

#### **ACM Reference Format:**

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# **1** INTRODUCTION

Reinforcement learning (RL) has achieved human-level performance in various tasks, e.g., video games [2, 4, 5, 11] and robotics [8, 13]. However, RL algorithms are notorious for highly "overfitting" the given task, i.e., while there is a diverse set of quality solutions for the given problem, RL algorithms can only obtain a single optimal one. Finding a set of qualified diverse strategies is crucial for a robust agent.

In this paper, we proposed Diversity-Guided Policy Optimization (DGPO), an on-policy framework for discovering multiple strategies for the same task. Our contributions are as follows: (1) We formalize two constrained optimization problems to efficiently discover a Jun Zhu Tsinghua University Beijing, China dcszj@tsinghua.edu.cn

set of optimal strategies. (2) We carefully designed a novel onpolicy algorithm, denoted as DGPO, that can find a diverse set of quality strategies simultaneously. (3) We empirically show that our method achieves competitive performance and has better diversity or sample efficiency than other baselines on various benchmarks.

# 2 PRELIMINARY

**Latent conditioned policy:**We consider policy  $\pi_{\theta}$  that is conditioned on latent variable *z* to model the diverse strategies, where  $\theta$  is the parameter of the policy  $\pi$ . We denote the latent conditioned policy as  $\pi(a|s, z)$  and the latent conditioned critic network as  $V^{\pi}(s, z)$ . A latent variable  $z \sim p(z)$  will be randomly sampled at the beginning of every episode and policy  $\pi$  will be used to sample a trajectory  $\tau_z$ , with *z* being fixed for the entire episode. The latent variable *z* is sampled from a categorical distribution with the number of categories  $n_z$  and p(z) is the uniform distribution.

**Discounted state occupancy:** The discounted state occupancy measure of policy  $\pi$  is defined as  $\rho^{\pi}(s) = (1 - \gamma) \sum_{t=0}^{\infty} \gamma^{t} P_{t}^{\pi}(s)$ , where  $P_{t}^{\pi}(s)$  is the probability that policy  $\pi$  visits state s at time t. The goal of the RL agent is to train a policy  $\pi$  to maximize the accumulated reward  $J(\theta) = \mathbb{E}_{z \sim p(z), s \sim \rho^{\pi}(s), a \sim \pi(\cdot | s, z)} [\sum_{t} \gamma^{t} r(s_{t}, a_{t})].$ 

# 3 METHODOLOGY

We implement DGPO by developing a new variation of PPO [10]. Specifically, DGPO can be separated into two parts: diversity-constrained optimization and extrinsic-reward-constrained optimization. In **diversity-constrained optimization**, the policy maximizes  $J(\theta)$ only when its behaviors are sufficiently different from the existing policy's. Otherwise, it updates an intrinsic rewards-based objective to encourage policies to behave differently. We introduce a novel diversity metric to evaluate the diversity score of the given set of policies as below:

$$DIV(\pi_{\theta}) = \mathbb{E}_{z \sim p(z)} [\min_{z' \neq z} D_{KL}(\rho^{\pi_{\theta}}(s|z)||\rho^{\pi_{\theta}}(s|z'))]$$
  
$$\geq \mathbb{E}_{z \sim p(z), s \sim \rho^{\pi}(s)} \left[\min_{z' \neq z} \log \frac{p(z|s)}{p(z|s) + p(z'|s)}\right].$$
(1)

A discriminator  $q_{\phi}(z|s_t)$  is trained in a supervised manner to approximate p(z|s), where  $\phi$  is the parameter of the discriminator

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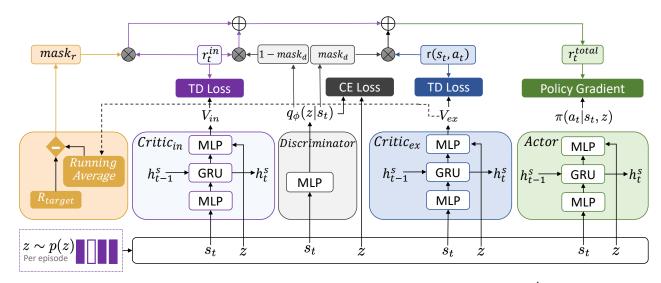


Figure 1: The overall framework of the DGPO algorithm. Top illustrates the way of calculating  $r_t^{total}$ , where  $mask_r = \mathbb{I}[J(\pi_{\theta}) \ge R_{target}]$  and  $mask_d = \mathbb{I}[DIV(\pi_{\theta}) \ge \delta]$ . Center shows the network structure and the data flow of the DGPO algorithm. Bottom shows the latent variable sampling process.

network. We introduce the intrinsic reward based on learned discriminator  $q_{\phi}(z|s)$  as below:

DGPO agents produce kiting strategies, i.e., our agents keep switching between attacking and moving upward or downward. Through this, they can attack enemies and avoid damage from them.

$$r_t^{in} = \min_{z' \neq z} \log \frac{q_{\phi}(z|s_t)}{q_{\phi}(z|s_t) + q_{\phi}(z'|s_t)}$$
(2)

The diversity objective  $J_{Div}(\theta)$ , which has a similar definition to  $J(\theta)$  but considers intrinsic rewards, can be defined as  $J_{Div}(\theta) = \mathbb{E}_{z \sim p(z), s \sim \rho^{\pi}(s), a \sim \pi(\cdot|s,z)} [\sum_{t} \gamma^{t} r_{t}^{in}]$ . To implement diversity-constrained optimization, we optimize the extrinsic-rewards objective  $J(\theta)$  when the data's diversity metric  $Div(\pi_{\theta}) \geq \delta$ , where  $\delta$  is a hyper-parameter, and optimize  $J_{Div}(\theta)$  when  $Div(\pi_{\theta}) < \delta$ . In **extrinsic-reward-constrained optimization**, the policy will optimize  $J_{Div}(\theta)$  when  $J(\theta)$  is greater than a threshold  $R_{target}$ , where  $R_{target}$  is a hyper-parameter. Fig. 1 summarizes the DGPO algorithm.

# 4 EXPERIMENTS

We evaluate our algorithm on multiple RL benchmarks, i.e., Multiagent Particle-world Environment (MPE) [7], StarCraft II Micromanagement Challenge (SMAC) [9], and Atari [1]. We compare our algorithm with 4 baseline algorithms, including MAPPO [12], DIAYN [3], SMERL [6], and RSPO [14]. Due to the space limit, we only show part of the empirical results here.

We conduct experiments on two StarCraft II maps, i.e.,  $2s\_vs\_1sc$ and 3m from the SMAC benchmark. In each map, we set  $n_z = 3$ and measure the average winning rates over 5 seeds for all the algorithms. Fig. 2(a) shows that while other algorithms are either unstable in terms of diversity or performance, DGPO can find a set of quality diverse strategies. In fact, DGPO has higher diversity scores and competitive performance in comparison with baseline algorithms. Fig. 2(b) visualizes three strategies obtained by DGPO on 3m map. In this map, we control three agents (in red) to combat build-in agents (in blue). We visualize the moving trajectories of our agents in green arrows. Empirical results show that in addition to moving forward (the middle arrow) to attack the enemies directly,

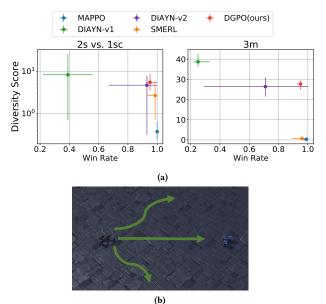


Figure 2: Experimental results in two SMAC scenarios.

#### **5** CONCLUSIONS

In this paper, we proposed Diversity-Guided Policy Optimization (DGPO), an on-policy algorithm that can efficiently discover diverse quality strategies. DGPO formulates the training process as two constrained optimization problems and solves them as a probabilistic inference task. Empirical results indicate that DGPO has competitive performance and sample efficiency with state-of-theart on-policy RL algorithms and achieves the highest diversity score in comparison with baseline algorithms in various domains.

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